



Power Electronic Systems  
Laboratory

© 2013 IEEE

IEEE Transactions on Power Electronics, Vol. 28, No. 4, pp. 1608-1621, April 2013

## Design and Implementation of a Three-Phase Buck-Type Third Harmonic Current Injection PFC Rectifier SR

T. B. Soeiro,  
T. Friedli,  
J. W. Kolar

This material is published in order to provide access to research results of the Power Electronic Systems Laboratory / D-ITET / ETH Zurich. Internal or personal use of this material is permitted. However, permission to reprint/republish this material for advertising or promotional purposes or for creating new collective works for resale or redistribution must be obtained from the copyright holder. By choosing to view this document, you agree to all provisions of the copyright laws protecting it.



Eidgenössische Technische Hochschule Zürich  
Swiss Federal Institute of Technology Zurich

# Design and Implementation of a Three-Phase Buck-Type Third Harmonic Current Injection PFC Rectifier SR

Thiago B. Soeiro, *Student Member, IEEE*, Thomas Friedli, *Member, IEEE*, and Johann W. Kolar, *Fellow, IEEE*

**Abstract**—In this paper, a three-phase buck-type unity power factor rectifier is designed for high-power electric vehicle battery charging mains interfaces. The characteristics of the converter, named the SWISS Rectifier (SR), including the principle of operation, modulation strategy, suitable control structure, and dimensioning equations are described in detail. Exemplarily, a 7.5 kW hardware prototype is then designed based on the derived analytical expressions and the feasibility of the SR concept is demonstrated by the means of experimental measurements. Finally, the SR is compared with a conventional six-switch buck-type ac–dc power conversion. According to the results, the SR is the topology of choice for a buck-type PFC.

**Index Terms**—Active third harmonic current injection, buck-type PFC converter, rectifier systems.

## I. INTRODUCTION

CHARGING of electric vehicle (EV) batteries inherently requires conversion of energy from the ac mains into dc quantities. Several charging voltage and power levels have been defined by different standardization organizations (IEC 61851, IEC62196, SAE J1772). Single-phase power factor corrector (PFC) mains interfaces are commonly employed for low-charging power levels (e.g.,  $P < 5$  kW), whereas for higher power levels, three-phase PFC mains interfaces have to be applied [1]. The EV chargers, typically implemented as two-stage systems, i.e., comprising a PFC rectifier input stage followed by a dc–dc converter, can be either integrated into the car (on-board) or accommodated in specially designed EV charging stations (off-board) [2].

In the particular case of fast charging stations (off-board), the power electronic system should be able to guarantee voltage adaptation to cope with the different specifications of several types of vehicles. Typically, for three-phase 400 or 480 V (line-to-line *rms* voltage) ac mains, EV chargers with a dc-bus voltage in the range of 250 to 450 V are used [1].

Manuscript received February 16, 2012; revised May 2, 2012; accepted July 9, 2012. Date of current version October 26, 2012. Recommended for publication by Associate Editor T. Shimizu.

T. B. Soeiro and J. W. Kolar are with Power Electronic Systems Laboratory, Swiss Federal Institute of Technology (ETH) Zurich, Zurich 8092, Switzerland (e-mail: soeiro@lem.ee.ethz.ch; kolar@lem.ee.ethz.ch).

T. Friedli is with ABB Switzerland, Ltd., Zurich CH-8050, Switzerland (e-mail: friedli@lem.ee.ethz.ch).

Color versions of one or more of the figures in this paper are available online at <http://ieeexplore.ieee.org>.

Digital Object Identifier 10.1109/TPEL.2012.2209680

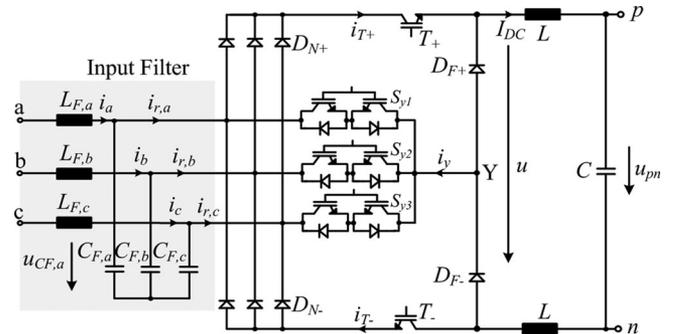


Fig. 1. Circuit topology of the three-phase SR with LC input filter.

Buck-type three-phase PFC rectifiers are appropriate for high-power EV chargers ( $>5$  kW), as a direct connection to the dc-bus can be used. If isolation of the dc-bus from the PFC rectifier is required for safety reasons, this can be facilitated by an isolated dc–dc converter connected in series, which can additionally be used for voltage regulation. Compared to boost-type topologies, buck-type systems provide a wider output voltage control range, while maintaining PFC capability at the input. Additionally, they enable direct start-up, and allow for dynamic current limitation at the output [3]–[12]. Three-phase boost rectifiers produce an output voltage (typ. 700 to 800 V) that is too high to directly feed the dc-bus of EVs and thus require a step-down dc–dc converter at their output.

This paper presents the design and implementation of a three-phase buck-type PFC rectifier topology, referred to as the SWISS Rectifier (SR) (cf., Fig. 1), appropriate for high-power EV battery charging systems. As it will be shown, this rectifier technology not only has similar operating characteristics as standard buck-type ac–dc converters, but it can also achieve higher efficiency. Other suitable applications include supplies for dc power distribution systems in telecommunication, future more electric aircraft, variable speed ac drives, and high-power lighting systems. The SR was first introduced in [7] and [8], however, only a brief description of the rectifier concept was given. In this paper, after the explanation of the principle of operation of the rectifier topology, employing a three-phase active third harmonic current injection rectifier in Section II, the derivation of the input current space vectors and the calculation of the relative on-times of the active switches guaranteeing PFC operation are presented in Section III. A pulsewidth modulation (PWM)-control method using triangular carriers is proposed in Section IV. The analytical expressions for calculating the

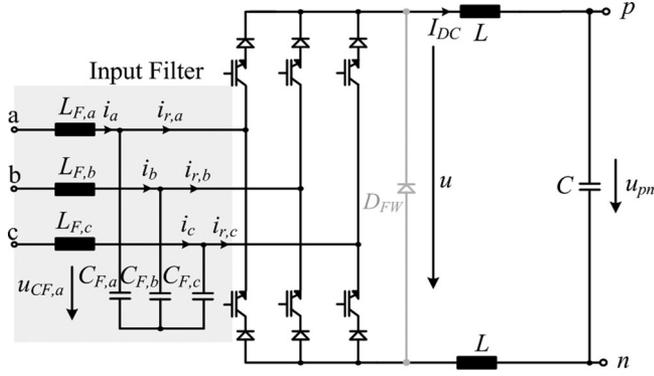


Fig. 2. Circuit topology of a three-phase six-switch buck-type PFC rectifier with LC input filter and explicit freewheeling diode  $D_{FW}$ .

stresses of the main semiconductors and passive components in dependency on the input current amplitude and the voltage transfer ratio of the converter are given in Section V. A 7.5 kW SR is designed to attest the feasibility of the proposed rectifier concept. Finally, in Section VI, the SR is systematically compared with a six-switch buck-type PFC rectifier, shown in Fig. 2, which represents the standard three-phase buck-type topology.

## II. THREE-PHASE BUCK-TYPE PFC RECTIFIER

Agreement with strict guidelines regarding the mains behavior of the three-phase rectifier systems (EN 61000-3-2 and 61000-3-4) and dc output voltage regulation capability can be achieved with the converter structure shown in Fig. 2, which is known as the six-switch buck-type PFC rectifier. By proper modulation of the power transistor in continuous conduction mode, the superimposed output current  $I_{DC}$  can be strategically distributed to the three phases in such a manner that after the low-pass filtering the system drains sinusoidal mains currents. Additionally, the line-to-line voltages can be deliberately switched to the output  $u$  and a cascading low-pass filtering ( $L$  and  $C$ ) in order to regulate the output voltage  $u_{pn}$  [7]. In order to maximize the achievable voltage level, while supplying each mains phase with currents, the two largest line-to-line voltages available during the mains sector can be selected in each pulse period for the formation of the output voltage. Correspondingly, the output voltage can ideally be adjusted to values starting from zero to values

$$u_{pn} < \sqrt{\frac{3}{2}} u_{N,l-l,rms}. \quad (1)$$

An alternative buck-type PFC rectifier configuration with similar operating characteristics, employing only three power transistors on the ac side of the bridge rectifier, also known as three-switch buck-type PFC rectifier, is presented in Fig. 3. The main drawback of this converter is the high number of semiconductors in the current path, resulting in higher conduction losses than the six-switch version [6]. As for the six-switch buck PFC, the conduction losses in the freewheeling state could be reduced by implementation of an additional freewheeling diode  $D_{FW}$  as is shown in Figs. 2 and 3.

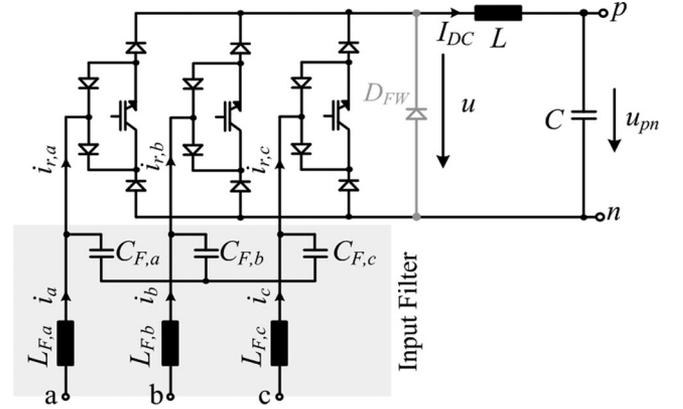


Fig. 3. Basic circuit of the three-phase three-switch buck-type PFC rectifier with input filter  $L_{F,a,b,c}$  and  $C_{F,a,b,c}$  and explicit freewheeling diode  $D_{FW}$ .

A three-phase PFC rectifier solution combining buck dc-dc converters and an active third harmonic current injection circuit, referred to here as the SR, is shown in Fig. 1. Other third harmonic injection topologies are described in [13]–[16], including the dual converter of the SR, a boost-type rectifier.

The SR allows the currents in the positive and negative active switches,  $i_{T+}$  and  $i_{T-}$ , to be formed proportionally to the two-phase voltages involved in the formation of the output voltage of the diode bridge. If the difference between  $i_{T+}$  and  $i_{T-}$  is fed back into the mains phase with the currently smallest absolute voltage value via a current injection network, formed with three four-quadrant switches gated at twice the mains frequency, a sinusoidal input current shape can be assured for all mains phases while the dc-dc converters guarantee the output voltage regulation.

A circuit implementation with a single-output inductor is feasible, however, in the proposed circuit, shown in Fig. 1, the total dc inductance is split evenly between the positive and negative output bus in order to provide symmetric attenuation impedances for conducted common mode (CM) noise. The conduction losses in the freewheeling state could be reduced by the implementation of an additional freewheeling diode  $D_{FW}$ .

For the SR, the output voltage range is limited by the minimal value of the six-pulse diode bridge output voltage, given by (1) and therefore is identical to the output voltage range for the buck-type PFC rectifiers, shown in Figs. 2 and 3. Contrary to the six-switch buck-type PFC systems, the rectifier diodes of the SR are not commuted with switching frequency. Correspondingly, the conduction losses can be reduced by the use of devices with a low-forward voltage drop (and a higher reverse recovery time). In addition, the mains commutated injection switches could be implemented with an antiparallel connection of RB-insulated gate bipolar transistors (IGBTs) with a low-forward voltage drop.

As shown in Fig. 4 the buck-type dc-dc converter units and the four-quadrant switches of the injection circuit can be replaced by other typical power electronic circuits. Additionally, if converter isolation and/or further voltage level regulation is necessary a transformer can be included in the dc-dc converter units to

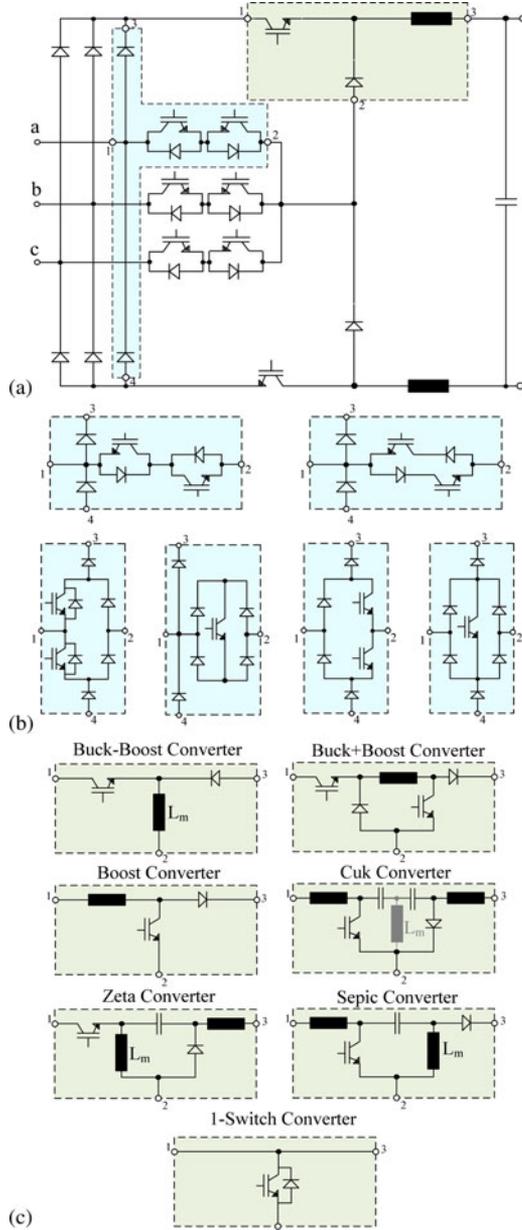


Fig. 4. Alternative implementation of a three-phase PFC rectifier with an active third harmonic injection circuit. (a) Basic circuit of the SR (buck-type PFC rectifier). Alternative (b) four-quadrant switches and (c) dc-dc converters.

replace the inductors  $L_m$  shown in Fig. 4(c). A bidirectional version of the SR was proposed in [17].

### III. CONDUCTION STATES, MODULATION, AND DUTY CYCLE

For the analysis of the conduction states, the derivation of the current space vectors and the calculation of the relative turn-on times, symmetric mains conditions are assumed. The mains currents  $i_{a,b,c}$  are considered to be equal to the fundamental component of the rectifier input currents  $i_{r,a,b,c(1)}$ . Hence, the reactive currents due to the filter capacitors are also neglected. Moreover, the filter capacitor voltages  $u_{CF,a,b,c}$  at the input of the rectifier are considered purely sinusoidally shaped and in phase with the mains voltages  $u_{a,b,c}$ . The current in the dc

TABLE I  
MODULATION OF THE CURRENT INJECTION CIRCUIT (CF., FIG. 5)

Sector	$S_{v1}$	$S_{v2}$	$S_{v3}$	Sector	$S_{v1}$	$S_{v2}$	$S_{v3}$
$0^\circ-30^\circ$	0	1	0	$180^\circ-210^\circ$	0	1	0
$30^\circ-60^\circ$	0	1	0	$210^\circ-240^\circ$	0	1	0
$60^\circ-90^\circ$	1	0	0	$240^\circ-270^\circ$	1	0	0
$90^\circ-120^\circ$	1	0	0	$270^\circ-300^\circ$	1	0	0
$120^\circ-150^\circ$	0	0	1	$300^\circ-330^\circ$	0	0	1
$150^\circ-180^\circ$	0	0	1	$330^\circ-360^\circ$	0	0	1

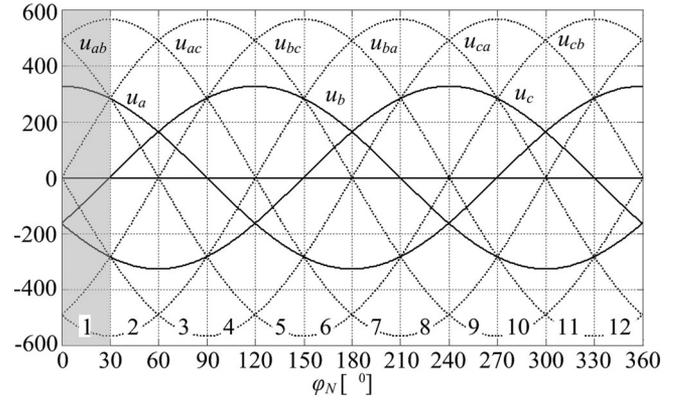


Fig. 5. Mains sectors 1–12 defined by the different relations of the instantaneous values of the mains phase voltages  $u_{a,b,c}$ .

inductors  $I_{DC}$  is assumed to be constant. Finally, all the analyses consider one of the twelve  $30^\circ$ -wide sectors of the mains period, i.e.,  $0^\circ < \varphi_N < 30^\circ$  with  $\varphi_N = \omega_N t$ , which is characterized by the mains phase relation  $u_a > u_b > u_c$ . For the remaining sectors, the calculations can be performed in a similar manner.

The modulation of the current injection circuit is performed at low frequency, following the rectifier input voltages  $u_{CF,a,b,c}$  in such a way that the active current injection occurs always into only one mains phase as presented in Table I (cf., Fig. 5). Accordingly, in each of the  $30^\circ$ -wide sectors of the mains period, four different conduction states can be defined by the switches  $T_+$  and  $T_-$  within a pulse period  $T_P$ , where the dc current  $I_{DC}$  impressed by the dc inductors is distributed to two of the input phases or is kept in a freewheeling state. The rectifying discrete converter input current space vector can be calculated by

$$\underline{i}_r = \frac{2}{3} \left( i_{r,a} + e^{j(2\pi/3)} i_{r,b} + e^{j(4\pi/3)} i_{r,c} \right). \quad (2)$$

Fig. 6(a) presents the four conduction states of the SR for the interval  $\varphi_N \in [0^\circ, 30^\circ]$ . For the switching state  $j = (\text{ON}, \text{ON})$ , where  $j = (T_+, T_-)$  indicates a combination of the switching functions of the two fast switches ( $T_+$  and  $T_-$ ) and ON means that the respective switch is turned ON, while OFF indicates an off-state of the switch, the rectifier input currents are  $i_{r,a} = I_{DC}$ ,  $i_{r,b} = 0$ , and  $i_{r,c} = -I_{DC}$ . Therefore, the rectifier input current space vector for this switching state results in

$$\underline{i}_{r,(\text{ON},\text{ON})} = \frac{2}{\sqrt{3}} I_{DC} e^{j(\pi/6)}. \quad (3)$$

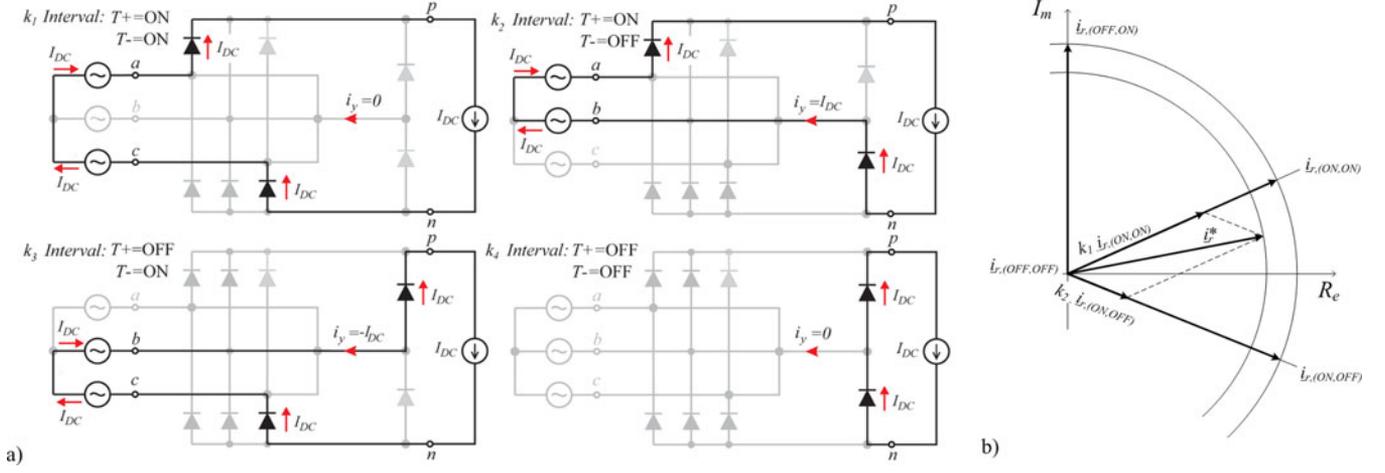


Fig. 6. (a) Conduction states and (b) input current space vector diagram of the SR for  $\varphi_N \in [0^\circ, 30^\circ]$ .

Analogously, the three remaining space vectors can be calculated as

$$\hat{i}_{r,(ON,OFF)} = \frac{2}{\sqrt{3}} I_{DC} e^{-j(\pi/6)} \quad (4)$$

$$\hat{i}_{r,(OFF,ON)} = \frac{2}{\sqrt{3}} I_{DC} e^{j(\pi/2)} \quad (5)$$

$$\hat{i}_{r,(OFF,OFF)} = 0. \quad (6)$$

With these four space vectors, a resulting input current space vector  $\hat{i}_r^*$  can be formed [cf., Fig. 6(b)] so that it is in phase with the mains voltage vector  $\hat{u}_r^*$  and has the required amplitude according to the actual power demand.

Proper selection of the switching state sequences allows control over the current ripple  $\Delta i_{DC}$  of the dc inductor current  $I_{DC}$  and  $\Delta i_y$  of the phase injection current  $i_y$ . Accordingly, the converter can be modulated in order to minimize the current ripple of  $i_y$  or that of the inductor current  $I_{DC}$ .

For the first mains sector ( $0^\circ < \varphi_N < 30^\circ$ ), the SR can operate with minimal injection current ripple  $\Delta i_y$  and consequently lower ripple values of the input capacitor voltages  $u_{CF,a,b,c}$  if a vector modulation with the switching sequence (ON, ON)–(ON, OFF)–(OFF, OFF)–(ON, OFF)–(ON, ON), arranged symmetrically around the middle of the pulse interval, is applied [cf., Fig. 7(a)]. As can be seen in Fig. 7(a), the input phase currents are formed by segments of the dc current  $I_{DC}$  defined by the relative on-times  $k_i$  of the current vectors

$$i_{r,a} = I_{DC}(k_1 + k_2); \quad i_{r,b} = -I_{DC}k_2; \quad i_{r,c} = -I_{DC}k_1. \quad (7)$$

The output voltage  $u_{pn}$  is formed by the line-to-line voltages  $u_{ab}$  and  $u_{ac}$  rated by the relative on-time of the respective current vectors

$$u_{pn} = k_1 u_{ac} + k_2 u_{ab}. \quad (8)$$

Note that  $u_{pn}$  is limited by the minimal value of the six-pulse diode bridge output voltage as given in (1).

Finally, PFC operation in the first mains sector can be achieved with relative on-times  $k_i$ , reliant on the modulation

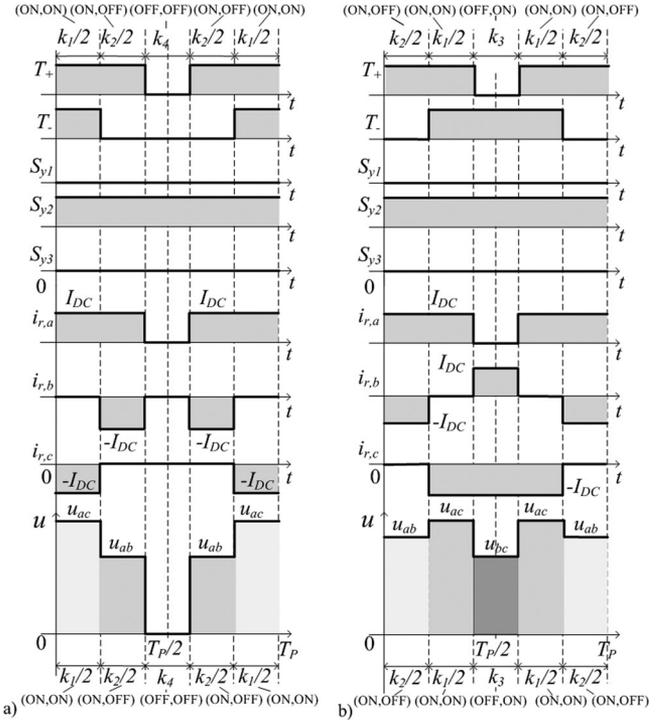


Fig. 7. Modulation scheme for (a) minimal injection current ripple  $\Delta i_y$  or (b) minimal dc current ripple  $\Delta i_{DC}$  for  $\varphi_N \in [0^\circ, 30^\circ]$ .

index  $M$ , the instantaneous values of  $u_{a,b,c}$ , and the amplitude of the mains phase voltages  $\hat{U}_N$  given by

$$M = \frac{2}{3} \frac{u_{pn}}{\hat{U}_N} \quad (9)$$

$$k_1 = -M \frac{u_c}{\hat{U}_N}, \quad k_2 = -M \frac{u_b}{\hat{U}_N}, \quad k_4 = 1 - M \frac{u_a}{\hat{U}_N}. \quad (10)$$

Note that the switch duty cycles  $a_+$  (for  $T_+$ ) and  $a_-$  (for  $T_-$ ) for symmetric mains ( $u_a + u_b + u_c = 0$ ) are defined according to

$$\alpha_+ = \frac{2}{3} \frac{u_{pn}}{\hat{U}_N^2} u_a = \frac{2}{3} \frac{u_{pn}}{\hat{U}_N^2} (-u_b - u_c) = k_1 + k_2 \quad (11)$$

$$\alpha_- = -\frac{2}{3} \frac{u_{pn}}{\hat{U}_N^2} u_c = k_1. \quad (12)$$

Alternatively, for the first mains sector ( $0^\circ < \varphi_N < 30^\circ$ ), the SR can operate with minimized dc inductor current ripple  $\Delta i_{DC}$  and consequently reduced ripple values of the output low-pass filtering if a vector modulation with the switching sequence (ON,OFF)–(ON,ON)–(OFF,ON)–(ON,ON)–(ON,OFF), arranged symmetrically around the middle of the pulse interval, is applied [cf., Fig. 7(b)]. As can be seen in Fig. 7(b), the (local average) input phase currents are defined by the dc current  $I_{DC}$  and the relative on-times  $k_i$

$$\begin{aligned} i_{r,a} &= I_{DC} (k_1 + k_2); & i_{r,b} &= I_{DC} (k_3 - k_2); \\ i_{r,c} &= -I_{DC} (k_1 + k_3). \end{aligned} \quad (13)$$

The output voltage  $u_{pn}$  is formed by the line-to-line voltages  $u_{ab}$ ,  $u_{bc}$ , and  $u_{ac}$ , weighted by the relative on-times of the respective current vectors

$$u_{pn} = k_1 u_{ac} + k_2 u_{ab} + k_3 u_{bc}. \quad (14)$$

Finally, PFC operation in the first mains sector can be achieved with relative on-times  $k_i$ , dependent on the modulation index  $M$ , instantaneous input voltage values  $u_{a,b,c}$ , and the amplitude of the mains phase voltages  $\hat{U}_N$  given by

$$\begin{aligned} k_2 &= 1 + M \frac{u_c}{\hat{U}_N}, & k_3 &= 1 - M \frac{u_a}{\hat{U}_N}, \\ k_1 &= 1 - k_2 - k_3 = M \frac{u_a - u_c}{\hat{U}_N} - 1, & k_4 &= 0 \end{aligned} \quad (15)$$

$$\alpha_+ = \frac{2}{3} \frac{u_{pn}}{\hat{U}_N^2} u_a = \frac{2}{3} \frac{u_{pn}}{\hat{U}_N^2} (-u_b - u_c) = k_1 + k_2 \quad (16)$$

$$\alpha_- = -\frac{2}{3} \frac{u_{pn}}{\hat{U}_N^2} u_c = k_1 + k_3. \quad (17)$$

Note that the (15)–(17) are valid for  $M \geq \hat{U}_N / u_{\text{rect}}$ , where  $u_{\text{rect}} = \{\max(u_a, u_b, u_c) - \min(u_a, u_b, u_c)\}$ . For  $M < \hat{U}_N / u_{\text{rect}}$ , the following equations are valid:

$$\begin{aligned} k_2 &= M \frac{u_a}{\hat{U}_N}, & k_3 &= -M \frac{u_c}{\hat{U}_N}, \\ k_4 &= 1 - k_2 - k_3 = 1 - M \frac{u_a - u_c}{\hat{U}_N}, & k_1 &= 0 \end{aligned} \quad (18)$$

$$\alpha_+ = \frac{2}{3} \frac{u_{pn}}{\hat{U}_N^2} u_a = \frac{2}{3} \frac{u_{pn}}{\hat{U}_N^2} (-u_b - u_c) = k_2 \quad (19)$$

$$\alpha_- = -\frac{2}{3} \frac{u_{pn}}{\hat{U}_N^2} u_c = k_3. \quad (20)$$

Finally, for all the remaining current space vectors the duty cycles of  $T_+$  and  $T_-$  can be determined as

$$\alpha_+ = \frac{2}{3} \frac{u_{pn}}{\hat{U}_N^2} \max(u_a, u_b, u_c) \quad (21)$$

$$\alpha_- = \frac{2}{3} \frac{u_{pn}}{\hat{U}_N^2} |\min(u_a, u_b, u_c)|. \quad (22)$$

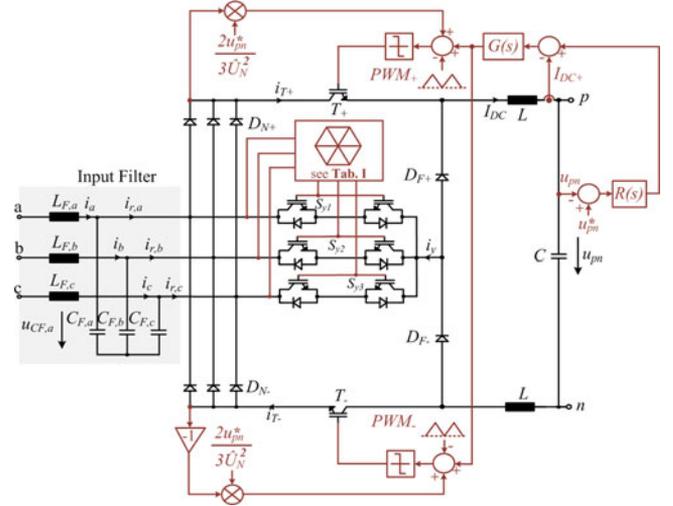


Fig. 8. PWM control structure for the SR.

#### IV. PWM CONTROL SCHEME

A possible implementation of a control scheme for the SR is shown in Fig. 8. This feedback PWM control comprises a superimposed output voltage controller  $R(s)$  and a subordinate output current controller  $G(s)$ . Finally, a feed-forward loop adds the normalized modulation functions defined by the positive and negative diode bridge output voltage and the system output voltage reference value  $u_{pn}^*$  to the dc current controllers in order to directly generate the input current forming voltage  $u$ .

In the proposed control structure, setting the PWM modulator for  $T_+$  and  $T_-$  to operate with in-phase carriers allows the system to work similarly to if it were controlled with the vector modulation described in Fig. 7(a), where the current ripple  $\Delta i_y$  is minimized while  $\Delta i_{DC}$  is maximized. On the other hand, operation using carriers with a phase difference of  $180^\circ$  (interleaved carriers) permits the system to work similarly to if it were controlled with the vector modulation depicted in Fig. 7(b), where the dc current ripple  $\Delta i_{DC}$  is minimized.

Simulation results, depicting the principle of operation of the SR, are shown in Fig. 9. The converter specifications, given in Table II, are considered in the simulation where operation with in-phase or interleaved PWM carriers and a load step (from 3.75 to 7.5 kW) are presented. As can be observed, the results demonstrate that the line currents  $i_{a,b,c}$  can effectively follow the sinusoidal input phase voltages  $u_{a,b,c}$  even in case of load steps, attesting the feasibility of the proposed rectifier and PWM control.

#### V. SR SYSTEM DESIGN

In this section, in order to provide a clear and general guideline for the components selection for the SR design, the stresses of the active and passive components of the converter are calculated analytically with dependence on the operating parameters of the rectifier. In addition, the power losses across the main components of the rectifier are derived such that during the initial design stage of the converter the total loss and efficiency

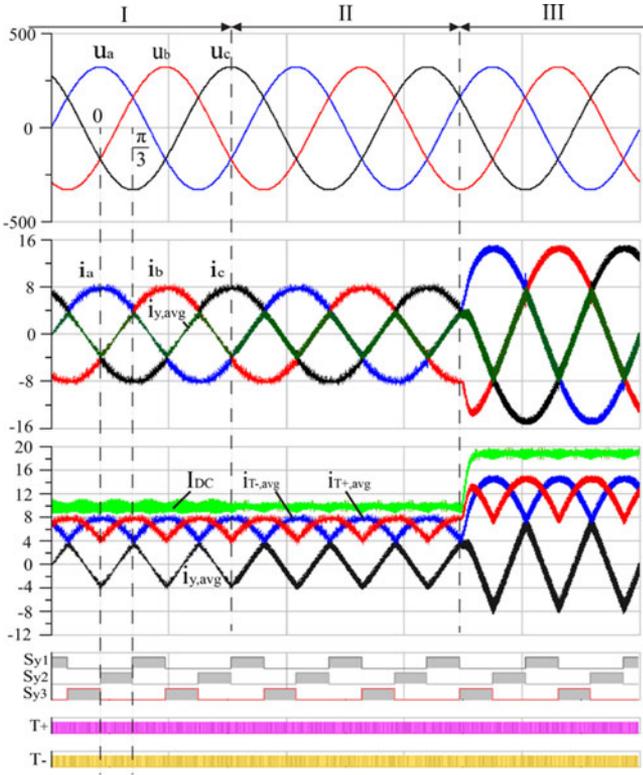


Fig. 9. Simulation results of the SR operating with (I) in-phase or (II) interleaved PWM carriers; (III) load step from 50% to 100% of the rated output power (3.75–7.5 kW).

TABLE II  
SR PROTOTYPE SPECIFICATIONS

Input phase voltage $u_{a,b,c}$	230 V <i>rms</i> $\pm 10\%$
Mains frequency $f_N$	50 Hz
Switching frequency $f_P$	36 kHz
Rated output power $P_o$	7.5 kW
Output capacitor $C$	470 $\mu$ F
DC inductor $L$	305 $\mu$ H

can be anticipated. Finally, the DM and CM noise models of the SR are presented. As an application case, a 7.5 kW unity power factor rectifier system shall be dimensioned that should provide a constant output voltage of  $u_{pn} = 400$  V for operation at a mains with 230 V<sub>rms</sub> phase voltage ( $u_{a,rms} = 230$  V  $\pm 10\%$ ) and a switching frequency of  $f_P = 36$  kHz.

#### A. Semiconductor Voltage and Current Stresses

The maximum voltage stress on the line diodes,  $D_{N+}$  and  $D_{N-}$ , is defined by the line-to-line voltage  $u_{N,l-l,max}$

$$u_{DN,max} = u_{N,l-l,max} = \sqrt{2}\sqrt{3}u_{a,rms} (1 + 10\%) = 620 \text{ V.} \quad (23)$$

All the remaining semiconductors ( $T_+$ ,  $T_-$ ,  $D_{F+}$ ,  $D_{F-}$ , and  $S_y$ ) have to block a maximum voltage that corresponds to the 60° sinusoidal progression of the maximum line-to-line input

voltage  $u_{N,l-l,max}$

$$u_{DF,max} = u_{T,max} = u_{S_y,max} = \frac{\sqrt{3}}{2}u_{N,l-l,max} = 537 \text{ V.} \quad (24)$$

Note that the selections of the blocking voltage capability of the power transistors and diodes have to consider a additional safety margin  $\delta$  for undesirable oscillations of the rectifier input voltage in case of low-passive damping of the input filter. Therefore, 1200 V power diodes and IGBTs ( $55\% > \delta > 48\%$ ) or 900 V MOSFETs ( $\delta \approx 40\%$ ) are suitable for the full silicon implementation of the SR. For the design at hand, the latest generation Trench and Fieldstop IGBTs as active switches are considered further.

In order to determine the on-state losses of the semiconductors, the current *rms* and average values have to be calculated and therefore, simple analytical approximations are derived. For the following calculations, it is assumed that the rectifier has:

- 1) a purely sinusoidal phase current shape;
- 2) ohmic fundamental mains behavior;
- 3) a constant dc current  $I_{DC}$ ;
- 4) no low-frequency voltage drop across the input filter inductors, therefore  $u_{C,a,b,c} = u_{a,b,c}$ ;
- 5) a switching frequency that is much higher than the mains frequency  $f_N$  ( $f_P \gg f_N$ ).

1) *Current Stress of the Bidirectional Switches  $S_y$  and  $D_y$* : With a defined modulation index  $M$  [cf., (9)], the average and *rms* currents of the two transistors and diodes forming a bidirectional switch finally result in

$$I_{S_y/D_y,avg} = I_{DC}M \frac{2 - \sqrt{3}}{2\pi} \quad \text{and} \quad I_{S_y/D_y,rms} = I_{DC}M \sqrt{-\frac{\sqrt{3}}{2\pi} + \frac{1}{3}}. \quad (25)$$

The total power loss of the low-frequency commanded IGBTs  $S_y$  and diodes  $D_y$ ,  $P_{S_y}$ , and  $P_{D_y}$ , are mainly defined by their conduction losses, and can be approximated by

$$P_{S_y/D_y} = I_{S_y/D_y,rms}^2 R_{S_y} + I_{S_y/D_y,avg} V_{S_y} \quad (26)$$

where  $R_{S_y}$  is the on-resistance and  $V_{S_y}$  is the forward voltage of the selected commercial power devices (IGBT/diode).

2) *Current Stress of the Rectifier Diodes  $D_{N+}$  and  $D_{N-}$* : The average and *rms* currents of the rectifier diodes can be calculated as

$$I_{DN,avg} = I_{DC}M \frac{\sqrt{3}}{2\pi} \quad \text{and} \quad I_{DN,rms} = I_{DC} \sqrt{\frac{\sqrt{3}M}{2\pi}}. \quad (27)$$

The rectifier diodes  $D_N$  dissipate essentially conduction losses, thereby the total power losses across this device is defined by

$$P_{DN} = I_{DN,rms}^2 R_{DN} + I_{DN,avg} V_{DN} \quad (28)$$

where  $R_{DN}$  is the on-resistance and  $V_{DN}$  is the forward voltage of the selected commercial power diode.

3) *Current Stress of the Fast Diodes  $D_{F+}$  and  $D_{F-}$* : The average and *rms* currents of the fast freewheeling diodes can be determined as

$$I_{DF,avg} = I_{DC} \left( 1 - \frac{3\sqrt{3}}{2\pi} M \right) \quad \text{and} \\ I_{DF,rms} = I_{DC} \sqrt{1 - \frac{3\sqrt{3}}{2\pi} M}. \quad (29)$$

The conduction loss of the fast diodes  $P_{DF,c}$  can be estimated by

$$P_{DF,c} = I_{DF,rms}^2 R_{DF} + I_{DF,avg} V_{DF} \quad (30)$$

where  $R_{DF}$  is the on-resistance and  $V_{DF}$  is the forward voltage drop of the selected commercial power diode.

The reverse recovery losses of the fast diodes  $P_{DF,s}$  can be calculated by

$$P_{DF,s} = \frac{3\sqrt{3}\hat{U}_N f_P E_{rr,DF}(I_{DC}, U_B)}{2\pi U_B} \quad (31)$$

where  $E_{rr,DF}(I_{DC}, U_B)$ , which can be obtained directly from the manufacturers datasheet, is the reverse recovery energy modeled as a function of the forward current of the diode and the applied reverse voltage  $U_B$  utilized during the switching loss measurements, assuming a constant current fall time.

Finally, the total averaged power loss  $P_{DF}$  can be determined using

$$P_{DF} = P_{DF,c} + P_{DF,s}. \quad (32)$$

4) *Current Stress of the Power Transistors  $T_+$  and  $T_-$* : The average and *rms* currents of the PWM-modulated power transistors are determined by

$$I_{T,avg} = I_{DC} M \frac{3\sqrt{3}}{2\pi} \quad \text{and} \quad I_{T,rms} = I_{DC} \sqrt{\frac{3\sqrt{3}M}{2\pi}}. \quad (33)$$

The conduction loss of the fast IGBTs,  $P_{T,c}$ , can be estimated by

$$P_{T,c} = I_{T,rms}^2 R_T + I_{T,avg} V_T \quad (34)$$

where  $R_T$  is the on-resistance and  $V_T$  is the forward voltage drop of the selected IGBT.

The switching losses of the fast IGBTs,  $P_{T,s}$ , can be calculated by

$$P_{T,s} = \frac{3\sqrt{3}\hat{U}_N f_P (E_{T,ON}(I_{DC}, U_B) + E_{T,OFF}(I_{DC}, U_B))}{2\pi U_B} \quad (35)$$

where  $E_{T,ON}(I_{DC}, U_B)$  and  $E_{T,OFF}(I_{DC}, U_B)$ , which can be obtained directly from the manufacturers datasheet, are the turn-on and turn-off energy modeled as a function of the forward current and the applied reverse voltage  $U_B$ .

Finally, the total averaged power loss  $P_T$  can be determined using

$$P_T = P_{T,c} + P_{T,s}. \quad (36)$$

*B. Passive Components: Voltage and Current Stresses*

1) *DC Inductor  $L$* : The voltage across each of the dc inductors  $L$  is equivalent to half of the value of the maximum allowed line-to-line input voltage  $u_{N,l-l,max}$

$$u_{L+} + u_{L-} = u_{N,l-l,max} \quad \therefore u_{L+} = \frac{u_{N,l-l,max}}{2} = 310 \text{ V}. \quad (37)$$

The current flowing through  $L$  is defined by the full dc (load) current  $I_{DC}$  and a current ripple  $\Delta i_{L,pp,max}$ , which is limited to a given value, i.e., 25% of  $I_{DC}$ . The current ripple peak-to-peak value and the *rms* value of the dc inductor current,  $\Delta i_{L,pp}$  and  $i_{L,rms}$ , can be determined as follows:

$$I_{DC} = \frac{P_0}{u_{pn}} \quad (38)$$

$$\Delta i_{L,pp,max} = \frac{u_{pn}}{2L f_P} \left( 1 - \frac{\sqrt{3}}{2} M \right) \quad (39)$$

$$i_{L,rms} = \sqrt{I_{DC}^2 + \frac{\Delta i_{L,pp,max}^2}{12}}. \quad (40)$$

The inductance value of the dc inductor can then be selected according to

$$L \geq \frac{u_{pn}}{2\Delta i_{L,pp,max} f_P} \left( 1 - \frac{\sqrt{3}}{2} M \right). \quad (41)$$

The losses for the output inductors  $L$  can be divided into: core losses, dc winding resistance losses, and high-frequency copper losses due to skin and proximity effect. The core losses can be calculated using the modified Steinmetz equation for triangular current (current ripple) [18] as follows:

$$P_{core} = k f_P \left( \frac{2}{\pi^2} 4 f_P \right)^{\alpha-1} \hat{B}^\beta V_{core} \quad (42)$$

where  $k$ ,  $\alpha$ , and  $\beta$  are the Steinmetz parameters obtained from the core material,  $\hat{B}$  is the peak magnetic flux density [cf., (43)] and  $V_{core}$  is the total core volume

$$\hat{B} = \frac{L \Delta i_{L,pp}}{2N A_e} \quad (43)$$

where  $A_e$  is the inductor core cross-sectional area. The dc resistance  $R_{L,DC}$  of an inductor assembled with E-cores wound with solid rectangular wire can be determined with

$$R_{L,DC} = \frac{\rho N l_T}{A_w} \quad (44)$$

where  $\rho$  is the wire resistivity,  $N$  is the number of turns,  $l_T$  is the average length of a turn, and  $A_w$  is the wire cross-sectional area. The modeling of the ac winding resistance  $R_{L,ac,nn}$  resulting from the  $n$ th harmonic of the current ripple  $\Delta i_L$  can be determined using the Ferreira method [19]

$$R_{L,ac,n} = R_{L,DC} \frac{\xi(\eta)}{2} e^{\frac{\sinh \xi(\eta) + \sin \xi(\eta)}{\cosh \xi(\eta) - \cos \xi(\eta)}} + \\ + \sum_{m=1}^{m_{tot}} \frac{R_{L,DC}}{m_{tot}} \frac{\xi(\eta)}{2} \eta^2 (2m-1) \frac{\sinh \xi(\eta) - \sin \xi(\eta)}{\cosh \xi(\eta) + \cos \xi(\eta)}. \quad (45)$$

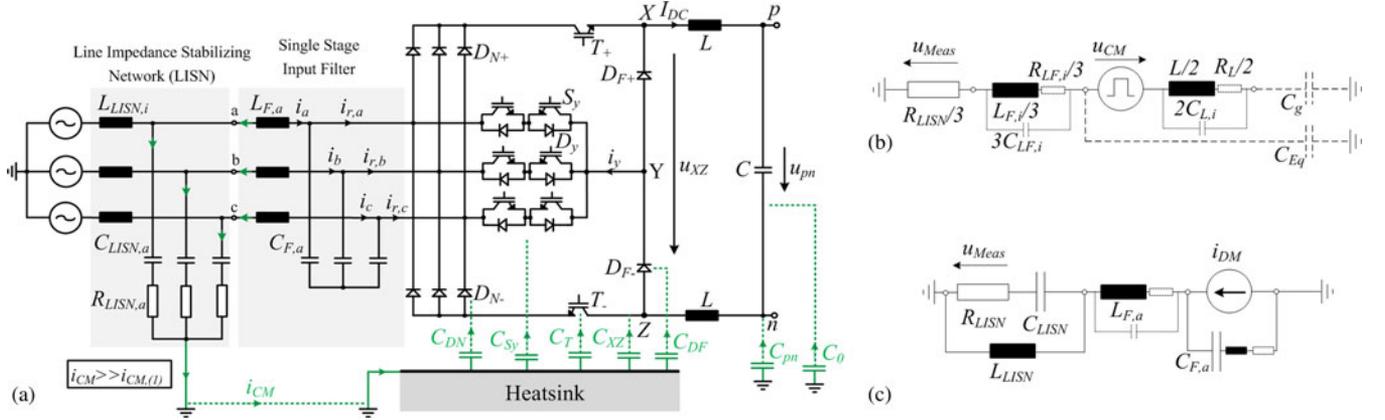


Fig. 10. (a) Model for the propagation of the CM currents in the SR used for deriving the CM noise model. Simplified high-frequency model for (b) CM and (c) DM emissions, including the high-frequency equivalent circuit of the LISN and a single stage DM input filter with parasitics of inductive and capacitive elements shown.

For an inductor with  $m_{tot}$  layers of windings with porosity factor  $\eta$  and skin depth related term  $\xi(\eta)$

$$\eta = \frac{aN_m}{b} \quad \text{and} \quad \xi(\eta) = \sqrt{A_\omega \pi \mu_0 \sigma n f_P \eta} \quad (46)$$

where  $a$  is the side length of the square conductor,  $b$  is the width of a layer,  $N_m$  is the number of turns in a layer, and  $\sigma$  is the conductivity of the wire. Finally, the total inductor loss  $P_L$  can be calculated as follows:

$$P_L = P_{core} + R_{L,DC} I_{DC}^2 + \sum_{n=1}^{n_{tot}} R_{L,ac,n} \frac{\Delta i_{L,n}^2}{3} \quad (47)$$

where  $\Delta i_{L,n}$  is the amplitude of the  $n$ th harmonic of inductor current ripple.

2) *Output Capacitor C*: When selecting the output capacitor  $C$ , the value of the controlled output voltage  $u_{pn}$  and an additional overshoot margin to enable safe operation during load transients (around 10% of  $u_{pn}$ ), must be taken into consideration

$$u_C > 1.1u_{pn} = 440 \text{ V}. \quad (48)$$

The *rms* value of the output capacitor current ripple  $\Delta i_{C,rms}$  and the peak-to-peak value of the output voltage ripple  $\Delta u_{C,pp}$  are given by

$$\Delta i_{C,rms} = \sqrt{\frac{\Delta i_{L,pp,max}^2}{12}} \quad \text{and} \quad \Delta u_{C,pp} = \frac{u_{pn}}{L} \left( \frac{1-M}{8f_P^2 C} \right). \quad (49)$$

The capacitance value of the dc output capacitor can then be determined according to

$$C \geq \frac{u_{pn}}{L} \left( \frac{1-M}{8f_P^2 \Delta u_{C,pp,max}} \right). \quad (50)$$

The power loss in the dc-link capacitor  $C$  is caused by the equivalent series resistance (ESR) and by leakage current  $I_{leak}$  [5]. The ESR, which is often given in the capacitor datasheets, can be calculated using the loss factor  $\tan(\delta)$  given

in the datasheets as follows:

$$ESR = \frac{\tan(\delta)}{2\pi f_P C}. \quad (51)$$

The leakage current  $I_{leak}$  can be determined using characteristic equations given in the datasheets. Finally, the total output capacitor losses  $P_C$  can be determined using

$$P_C = \Delta i_{C,rms}^2 ESR + I_{leak} u_{pn}. \quad (52)$$

### C. Simple DM and CM Noise Models of the SR

The semiconductors of a power electronics converter are typically mounted onto a common heat sink that is usually connected to ground (PE). Therefore, parasitic capacitances to ground exist, leading to propagation of CM noise currents in the circuit (cf., Fig. 10).

Due to the discontinuous input current of the SR, at least a single-stage differential mode (DM) input filter, i.e.,  $L_{F,i}$  and  $C_{F,i}$ , is necessary. However, for full compliance to electromagnetic compatibility (EMC) standards, the conducted DM and CM noise emissions propagating to the mains have to be attenuated sufficiently. In order to design a proper EMI filter, the CM and DM noise levels of the SR need to be determined. Accordingly, the modeling approach given in [20] and [21] is extended to this three-phase converter.

The DM noise is generated by the pulsating input currents  $i_{r,a,b,c}$  at switching frequency and is attenuated by the input filter capacitors  $C_{F,a,b,c}$  and the ac side filter inductors  $L_{F,a,b,c}$ . The CM noise is caused within each pulse period  $T_P$  by the switched line-to-line voltages during the formation of the output voltage and for the distribution of the dc current to the mains phases. For the SR, this pulsed voltage  $u_{CM}$  has a maximum high-frequency peak-to-peak amplitude  $U_{CM,pp,max}$  of approximately

$$U_{CM,pp,max} \approx \frac{3}{\sqrt{8}} u_{a,rms}. \quad (53)$$

Fig. 10 defines the simplified circuits to evaluate CM and DM noise sources for the SR. There, the CM voltage  $u_{CM}$ , the DM current  $i_{DM}$ , and the stray capacitances,  $C_g$  and  $C_{Eq}$ , model the

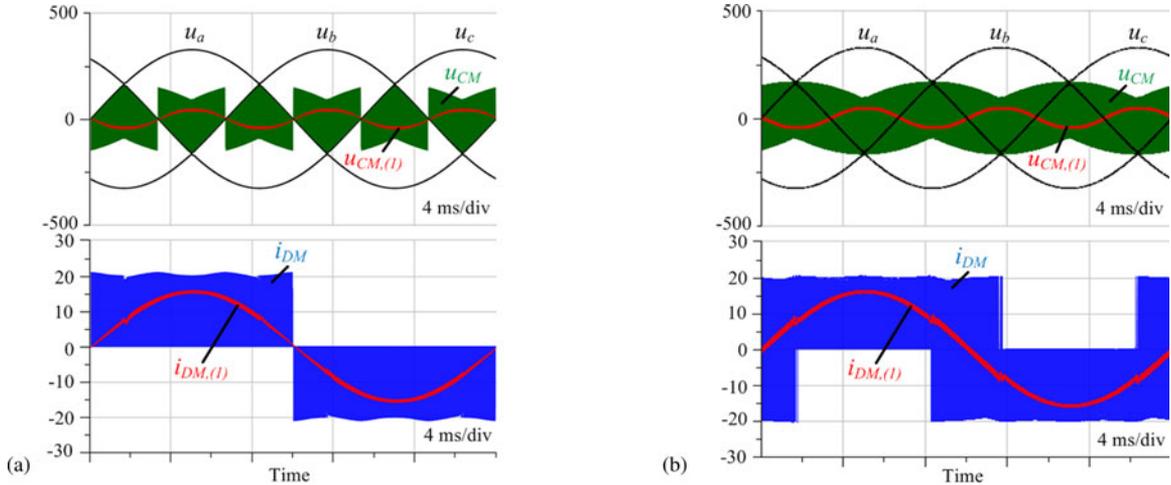


Fig. 11. Time behavior of the CM voltage  $u_{CM}$  and DM current  $i_{DM}$  noise sources of the SR operating with modulation (a) for minimal injection current ripple  $\Delta i_y$  [cf., Fig. 7(a)] and (b) for minimal dc inductor current ripple  $\Delta i_{DC}$  [cf., Fig. 7(b)].

power converter CM and DM noise circuits. The capacitances  $C_g$  and  $C_{Eq}$  are lumped representations of all relevant stray capacitances included in the CM propagation path.  $C_g$  represents mainly the capacitances of the positive and negative output voltage bus to ground  $C_{pn}$ , and from the load to earth  $C_0$ , while  $C_{Eq}$  models the stray capacitances from the semiconductors to the heatsink ( $C_{DN}$ ,  $C_{Sy}$ ,  $C_T$ , and  $C_{DF}$ ) and the power connection terminals to earth,  $C_{XZ}$ .

A simplified time domain simulation of the system is sufficient to obtain the DM current and CM voltage sources,  $i_{DM}$  and  $u_{CM}$ . The CM voltage can be calculated by measuring the voltages  $u_{X,PE}$  and  $u_{Z,PE}$

$$u_{CM} = \frac{u_{X,PE} + u_{Z,PE}}{2} \quad (54)$$

and the DM currents are computed directly from the input currents as long as no CM paths exist in the simulation circuit. For simplicity, the current measured in phase  $a$  is used so that

$$i_{DM} = i_{r,a}. \quad (55)$$

A simulation of the SR in GeckoCIRCUITS [22] is used for determining the CM and DM noise sources. The converter specifications listed in Table II are used to perform the simulation. The time behavior of the CM voltage  $u_{CM}$  and DM current  $i_{DM}$  for both space vector modulations described in Section III are presented in Fig. 11, where it can be seen that the CM voltage is formed with combinations of the input voltages, while the DM current is formed by the switched dc current  $I_{DC}$ . Finally, the low-frequency component  $i_{DM,(1)}$  shows a nearly ideal sinusoidal behavior, thus PFC at the input of the converter is obtained.

It can be observed that the different modulation strategies result in different CM and DM waveforms that would finally lead to different EMI filter requirements. As an example, by comparing the calculated DM noise emissions for both modulations to the CISPR 22 Class B limit at  $f = 180$  kHz (first multiple of the switching frequency ( $f_P = 36$  kHz)) within the

EMC measurement band), the required suppression for the EMI filter can be determined. As a result, with quasi-peak measurement, the necessary attenuation of noise for the modulation, which minimizes the ripple  $\Delta i_y$ , is  $Att_{req} = 75$  dB, while for the modulation, which minimizes the ripple  $\Delta i_{DC}$ , the necessary noise suppression is slightly higher, i.e.,  $Att_{req} = 78$  dB.

Due to the high required filter attenuations a second filter stage is recommended. For control stability reasons, the attenuation of the first stage filter,  $L_{F,a,b,c}$  and  $C_{F,a,b,c}$ , has to be higher than for the second stage. As a design criteria, the suppression of the first DM filter stage will be considered as follows [21]:

$$Att_{LF,CF} [\text{dB}] = (0.7, \dots, 0.8) Att_{req} [\text{dB}]. \quad (56)$$

Additionally, the selection of  $C_{F,i}$  needs to limit the voltage ripple peak-to-peak value at the input of the converter to about 5–10% in order to ensure correct detection of the input line-to-line voltages that is required for the system modulation [21]. On the other hand, high values of  $C_{F,i}$  lead to a low-power factor at low-load operation, therefore, as a compromise the value of  $C_{F,i}$  is defined in the range

$$C_{F,i}; \frac{I_{DC} M (1 - M)}{\Delta u_{CF,pp} f_P}; 2.2 \mu\text{F}, \dots, 5 \mu\text{F}. \quad (57)$$

Finally, the range of the inductance value of the filter inductors can be determined for  $Att_{req} [\text{dB}] = 78$  dB

$$L_{F,i} = \frac{10^{Att_{LF,CF} [\text{dB}]/20}}{4\pi^2 C_{F,i} (f = 180 \text{ kHz})^2} = (66 \mu\text{H}, \dots, 460 \mu\text{H}). \quad (58)$$

In this paper, only the modeling of conducted differential and CM emissions for the SR is shown. A preliminary design of the EMI filter could be accomplished using computer simulation incorporating the derived equivalent circuits with the modeled CM and DM noise sources by following the strategy proposed by [21] such that the generated emissions measured by the modeled EMC test receiver  $u_{Meas}$  does not exceed the limits defined by

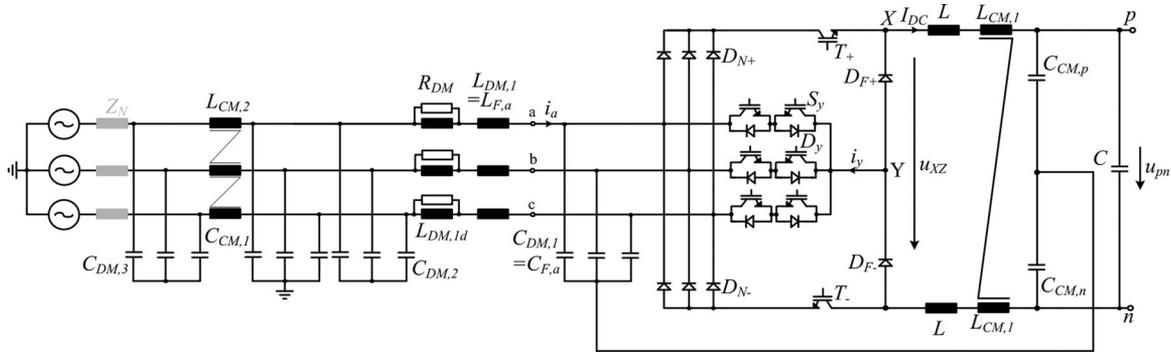


Fig. 12. Example of the EMI filter structure of the SR. Note that an additional filter stage is formed by the inner mains impedance  $Z_N$ .

TABLE III

SR: COMPARISON OF ACTIVE AND PASSIVE COMPONENT STRESSES DETERMINED BY ANALYTICAL CALCULATIONS AND DIGITAL SIMULATIONS

	Analytical Calculations	Simulation	Deviation [%]
$I_{S_y,avg}$	0.65	0.65	0.0
$I_{S_y,rms}$	3.69	3.57	+3.36
$I_{D_N,avg}$	4.24	4.25	-0.23
$I_{D_N,rms}$	8.92	8.95	-0.35
$I_{T,avg}$	12.72	12.75	-0.23
$I_{T,rms}$	15.45	15.5	-0.32
$I_{D_F,avg}$	6.02	6.00	+0.33
$I_{D_F,rms}$	10.63	10.62	+0.01
$i_{L,rms}$	18.81	18.79	+0.1
$\Delta i_{L,pp,max}$	5.27	5.17	+1.93

an EMC standard, i.e., CISPR22 class B. An example of the EMI structure suitable for the SR is shown in Fig. 12.

#### D. SR Model Accuracy

In order to verify the accuracy of the derived equations modeling the voltage and current stresses of the SR, an appropriate switching frequency and the values of the passive components according to (41), (50), (57), and (58) have been selected. A switching frequency of  $f_P = 36$  kHz is designated as it constitutes a good compromise between high efficiency, high-power density, and high-control bandwidth. Advantageously, the fourth switching frequency harmonic is found near, but still below the beginning of the considered EMC measurement range at 150 kHz. With  $f_P = 36$  kHz, the values for the output filter  $L = 305 \mu\text{H}$  and  $C = 470 \mu\text{F}$  are selected. The component values of the first input filter stage is  $L_{F,i} = 85 \mu\text{H}$  and  $C_{F,i} = 4.4 \mu\text{F}$ .

In Table III, the values of the average and *rms* component stresses calculated with the respective expressions are compared to the results obtained with a simulation performed in GeckoCIRCUITS [22] and show very good accuracy.

#### E. 7.5 kW Hardware Demonstrator

A laboratory prototype of the SR according to the specifications given in Table II has been built. The implemented prototype is shown in Fig. 13. A list of the employed semiconductor devices and passive components is given in Table IV along with the key design parameters. The overall dimensions of the system

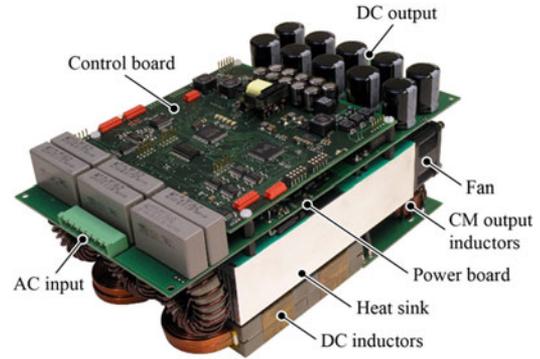


Fig. 13. Implemented 7.5 kW SR hardware prototype, using 1200 V Trench and Fieldstop IGBTs and 1200 V SiC MPS freewheeling diodes. Mechanical dimensions: 210 mm  $\times$  132 mm  $\times$  92 mm; power density: 2.94 kW/dm<sup>3</sup> = 48 W/in<sup>3</sup>, switching frequency:  $f_P = 36$  kHz.

TABLE IV

SELECTED MAIN COMPONENTS OF THE SR HARDWARE PROTOTYPE (CF., FIG. 13)

Component	Device Description
$S_y / D_y$	Si T&FS IGBTs, 1200 V / 25 A, IKW25N120, Infineon
$T_+ / T_-$	Si HighSpeed T&FS IGBTs, 1GW40N120H3, Infineon
$D_{N+} / D_{N-}$	Si fast recovery diode, DSEP060-12AR, IXYS
$D_{F+} / D_{F-}$	SiC Schottky diodes, 1200 V / 20 A, C2D20120A, CREE
$L$	305 $\mu\text{H}$ , 2 $\times$ E64-50-10 cores, 3C91 ferrite, Ferroxcube $N_L = 16$ turns, Cu wire cross section $A_{w,L} = 8.5 \text{ mm}^2$
$C$	10 $\times$ 47 $\mu\text{F}$ / 450 V, electrolytic capacitors, EPCOS
$L_{F,abc}$	85 $\mu\text{H}$ , High Flux 58254 powder core, Magnetics $N_{L,F} = 22$ turns, Cu wire cross section $A_{w,L,F} = 3.1 \text{ mm}^2$
$C_{F,abc}$	2 $\times$ 2.2 $\mu\text{F}$ , 305 V X2, MKP foil, Arcotronics
$L_{CM,1}$	3 $\times$ series connected VAC500F cores with 2 8-turns winding ( $A_w = 4.9 \text{ mm}^2$ )

are 210 mm  $\times$  132 mm  $\times$  92 mm, hence leading to a power density of 2.94 kW/dm<sup>3</sup>.

Note that for the selection of the 7.5 kW SR components, the analytical (23)–(58) were utilized, considering the worst case operating condition for each specific component. For instance, the semiconductor devices have to cope with the highest current stress that occurs at the maximum modulation index ( $M \approx 0.91$ ). According to (23) and (24), IGBTs and diodes with a blocking voltage capability of 1200 V have been chosen.

With respect to the operating principle of the converter, the injection switches  $S_{y,i}$  are implemented with the latest generation Trench and Fieldstop IGBTs (1200 V/25 A, IKW25N120,

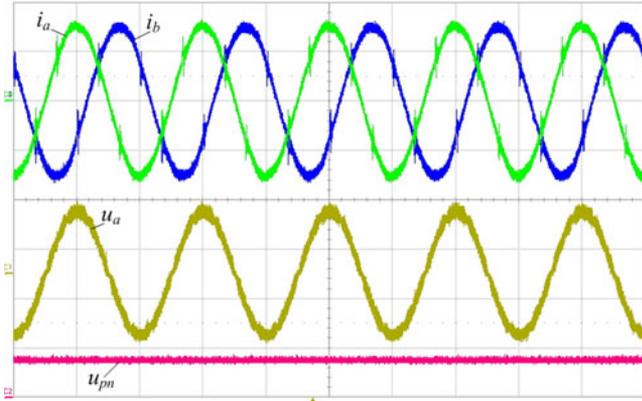


Fig. 14. Experimental waveforms ( $i_a$  and  $i_b$ : 10 A/div;  $u_a$ : 250 V/div; and  $u_{pn}$ : 500 V/div).

Infineon) with an antiparallel freewheeling diode that are optimized for low-conduction losses as they are switched with only twice the mains frequency. For the transistors  $T_+$  and  $T_-$ , high-speed T&FS IGBTs (1200 V/40 A, IGW40N120 H3, Infineon) are used in combination with SiC MPS diodes (1200 V/20 A, C2D20120A, CREE) for  $D_{F+}$  and  $D_F$  to enable low-switching losses at the selected switching frequency of  $f_P = 36$  kHz.

By combining the analytical equations for the losses of passive and active components (23)–(52) with the respective selected device (cf., Table IV) the total losses of the converter can be calculated depending on the operating parameters. A breakdown of the total losses of the designed system for nominal operation ( $P_o = 7.5$  kW,  $u_{pn} = 400$  V,  $u_{a,b,c} = 230$  Vrms and  $f_P = 36$  kHz) is depicted in Fig. 16. Additionally, other loss sources are considered, such as for the auxiliary power supply that powers a TI DSP and a lattice field-programmable gate array used for the control, the gate drivers and the air cooling system. Losses in the PCB, connectors, and cables are also included.

The input currents of the rectifier  $i_a$  and  $i_b$  (10 A/div), the phase  $a$  mains voltage  $u_a$  (250 V/div) and the output voltage  $u_{pn}$  (500 V/div) are given in Fig. 14 for an output power of 7.5 kW, where an input current total harmonic distortion of 4% and a power factor of  $\lambda = 0.99$  have been measured. The prototype efficiency has been measured with a Yokogawa WT3000 precision power analyzer (basic power accuracy of 0.02%). Fig. 15 shows the measured/calculated efficiency and losses over the output power for different output voltages ( $u_{pn} = 400$  V and 440 V). It can be seen that the loss results obtained by calculations correspond very well with those obtained by measurement. The deviation can be explained by the approximately linear models of the conduction/switching losses and by the prediction of the other circuit losses.

## VI. BUCK-TYPE RECTIFIER COMPARATIVE EVALUATION

In this section, the SR is compared to a standard buck-type ac–dc converter technology, i.e., the six-switch buck-type PFC (cf., Fig. 2). Thus, a suitable modulation scheme and the main design expressions of this PFC rectifier are given.

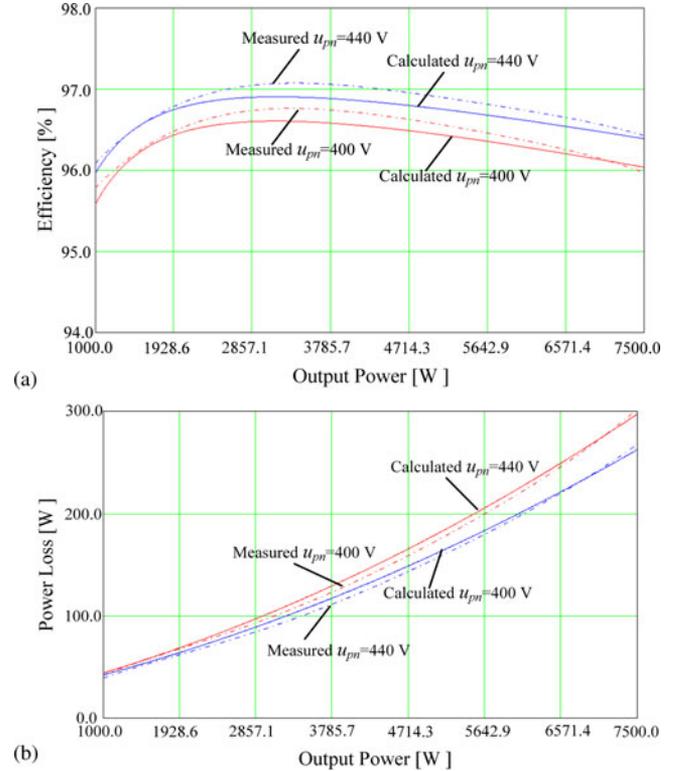


Fig. 15. Measured and calculated (a) efficiency and (b) power losses with the implemented 7.5 kW SR prototype.

Initially, the calculated total losses for the active and passive components for each analyzed rectifier specified according to Table II are used for the assessment. The power devices listed in Table IV are used for the calculation. Finally, the loss calculation is extended to a variable chip area to allow a fair comparison between the studied systems. This approach not only provides a distinct figure-of-merit for comparison, but can also be used to determine the semiconductor costs of the different topologies.

### A. Six-Switch Buck-Type PFC: Modulation Scheme and Design

In this paper, the modulation scheme developed in [23]–[25] that guarantees minimum switching losses as well as minimum input filter capacitor voltage ripple is considered. This incorporates a short interval  $t_d$  during which switch on-times overlap guaranteeing the required freewheeling state of the inductor  $L$ , where the duty ratios  $\delta_{a,b,c}$  for the three bridge legs are set according to

$$\delta_i = \frac{u_{pn,ref}}{\sum_{j=a,b,c} u_{CF,j}^2} |u_{CF,i}| \quad (59)$$

where  $u_{pn,ref}$  is the required rectifier output voltage and  $i = \{a, b, c\}$ . In this modulation the IGBTs corresponding to the same phase are switched at the same time, with duty cycles corresponding to the values presented in Table V. Accordingly, the half of the leg and diode that conducts is determined by the input voltages.

As for the SR, the stresses of the active and passive components can be calculated analytically with dependency on the

TABLE V  
APPLIED DUTY CYCLE BY INPUT VOLTAGE SECTOR FOR THE SIX-SWITCH  
BUCK-TYPE PFC RECTIFIER (CF., FIG. 5)

Sector	$\delta_{eff,a}$	$\delta_{eff,b}$	$\delta_{eff,c}$
1, 7	$\delta_a$	$1 - \delta_c + t_d$	$\delta_c$
2, 8	$\delta_a$	$1 - \delta_a + t_d$	$\delta_c$
3, 9	$1 - \delta_b + t_d$	$\delta_b$	$\delta_c$
4, 10	$1 - \delta_c + t_d$	$\delta_b$	$\delta_c$
5, 11	$\delta_a$	$\delta_b$	$1 - \delta_a + t_d$
6, 12	$\delta_a$	$\delta_b$	$1 - \delta_b + t_d$

operating parameters of the converter. For instance, the *rms* and average values of the fast diodes  $D_{F+/-}$  and IGBTs  $T_{+/-}$  can be calculated by

$$I_{T/DF,avg} = \frac{I_{DC}}{3} \quad \text{and} \quad I_{T/DF,rms} = \frac{I_{DC}}{\sqrt{3}}. \quad (60)$$

The conduction loss of the diodes/IGBTs,  $P_{T/DF,c}$ , can be estimated by

$$P_{T/DF,c} = I_{T/DF,rms}^2 R_{T/DF} + I_{T/DF,avg} V_{T/DF} \quad (61)$$

where  $R_{T/DF}$  is the on-resistance and  $V_{T/DF}$  is the forward voltage drop of the selected diode or IGBT.

The switching losses of the diodes and IGBTs  $P_{T/DF,s}$  can be calculated by (62) and (63), respectively

$$P_{T,s} = \frac{3\sqrt{3}\hat{U}_N f_P (E_{T,ON}(I_{DC}, U_B) + E_{T,OFF}(I_{DC}, U_B))}{4\pi U_B} \quad (62)$$

$$P_{DF,s} = \frac{\sqrt{3}\hat{U}_N f_P E_{rr,DF}(I_{DC}, U_B)}{4\pi U_B} \quad (63)$$

where  $E_{T,ON}(I_{DC}, U_B)$ ,  $E_{T,OFF}(I_{DC}, U_B)$ , and  $E_{rr,DF}(I_{DC}, U_B)$ , which can be obtained directly from the manufacturers datasheet are the turn-on, turn-off, and reverse recovery energy modeled as function of the forward current and the reverse voltage  $U_B$ .

The total averaged power loss of the diodes and IGBTs,  $P_{T/DF}$ , can be determined using

$$P_{T/DF} = P_{T/DF,c} + P_{T/DF,s}. \quad (64)$$

Finally, as for the SR, the power losses and stresses across the passive components of the six-switch buck-type PFC rectifier can be determined by (37)–(58).

### B. Efficiency and Chip Area Comparison

Fig. 16 presents the breakdown of the total losses for the SR and the six-switch buck-type PFC rectifier, both specified according to Tables II and IV. As can be observed the SR can achieve the highest efficiency, justifying its implementation for the project at hand.

Although the efficiency comparison gives a good overview regarding the expected performance of the analyzed topologies, it can be considered not completely fair. This is particularly true because the selected IGBT and diode devices for each topology are not individually optimized. Therefore, some devices can be found overdimensioned while others can be brought to operate

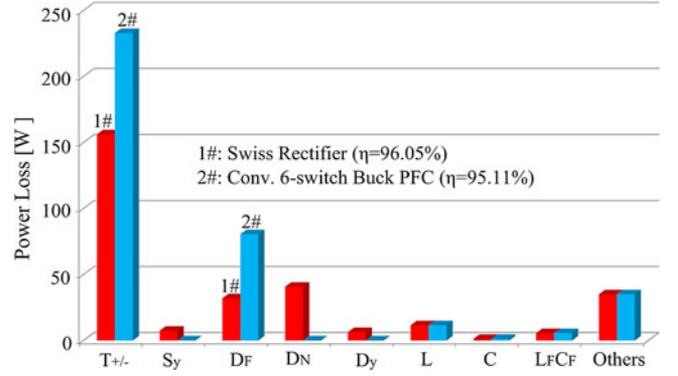


Fig. 16. Comparative evaluation: Breakdown of the total losses for operation at  $P_o = 7.5$  kW,  $u_{pn} = 400$  V,  $u_{a,b,c} = 230$  V<sub>rms</sub> and  $f_P = 36$  kHz.

at their limits. For a fair comparison, the semiconductor chip sizes of each rectifier could be adapted for a given operating point such that the maximum or average IGBT and diode junction temperatures,  $T_{J,T/D}$ , are equal to or less than a predefined maximum value, i.e.,  $T_{J,max} = 125$  °C. This strategy not only guarantees optimal chip area partitioning and semiconductor material usage, but also provides a common basis for comparisons [26] and [27].

Due to their good documentation and data availability, the Infineon Trench and Field Stop 1200 V IGBT4 and 600 V IGBT3 series have been chosen as the data basis. With a statistical analysis of many commercial devices, datasheets and manufacturer data, the power losses and thermal characteristics of these semiconductor series can be modeled with a chip die size,  $A_{S,T/D}$ . A thorough description of the employed chip area optimization, including the resulting expressions for the power loss of the IGBTs and diodes and thermal characteristics modeled with a nominal chip area, are given in [27].

In this paper, using the derived chip area mathematical expressions, the optimization algorithm calculates the losses of each topology and chip sizes until the average junction temperature of each semiconductor chip reaches  $T_J = 125$  °C, assuming a heat sink temperature of  $T_{Sink} = 80$  °C. By summing up all optimized chip sizes, the total chip area, the semiconductor costs, and the total efficiency for a topology and corresponding operation point can be found. Therein, the chip area of each element is limited to a minimum of  $A_{S,min} = 2$  mm<sup>2</sup>. This is due to unconsidered side effects becoming dominant for small chip sizes and the limits in bonding technology.

Fig. 17 shows the chip area optimization results for the specified 7.5 kW SR and the six-switch buck-type PFC system (cf., Table II). Therein, the total chip area is calculated depending on the switching frequency. As can be noticed, the results confirm the initial efficiency calculations (cf., Fig. 16), as the SR is the solution that requires the lowest semiconductor chip area. The part count and the count for external circuitry such as isolated gate drivers is increased, but the total cost of the semiconductors can be expressively lower, especially for high-switching frequencies. Accordingly, the SR is the topology of choice for the specified buck-type PFC rectifier.

The main advantage of the SR is not only seen in the higher achievable efficiency but also that the system can be controlled

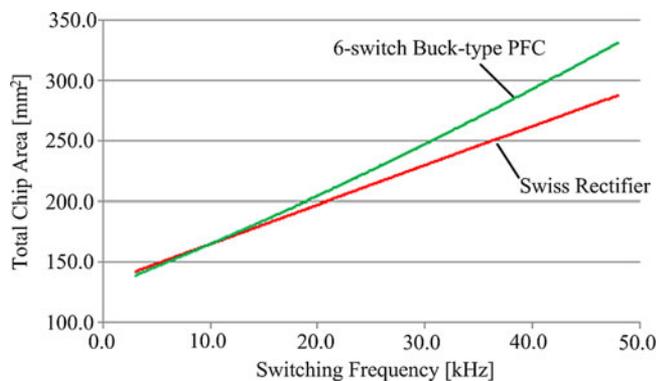


Fig. 17. Comparative of the total semiconductor chip area as function of the operating switching frequency.

similar to a dc–dc converter. Accordingly, basic knowledge of the function of a buck-type dc–dc converter and a three-phase passive diode rectifier is sufficient to implement a three-phase PFC rectifier with sinusoidal input currents and a controlled output voltage.

## VII. CONCLUSION

This paper deals with a three-phase unity power factor buck-type PFC rectifier, named the SR, appropriate not only for high-power EV battery charging systems, but also for power supplies for telecommunication, future more electric aircraft, variable speed ac drives, and high-power lighting systems.

The complete design procedure of this system is based on analytical expressions of the current stresses of the active and passive power components, including a simplified EMI DM/CM modeling for conducted emission and filter design, as well as the control analysis, has been described. Additionally, a 7.5 kW SR hardware prototype has been implemented and its feasibility has been verified. Finally, the new rectifier concept has been compared with a conventional six-switch buck-type PFC rectifier. According to the results, the SR is a very suitable topology for the implementation of a buck-type PFC mains interface for an EV battery charger.

## REFERENCES

- [1] D. Aggeler, F. Canales, H. Zelaya, A. Coccia, N. Butcher, and O. Apeldoorn, "Ultra-fast DC-Charger infrastructures for EV-Mobility and future smart grids," in *Proc. IEEE PES Innovative Smart Grid. Techn. Conf. Eur.*, 2010, pp. 1–8.
- [2] A. Kuperman, U. Levy, J. Goren, A. Zafranski, and A. Savernin, "High power Li-Ion battery charger for electric vehicle," in *Proc. 7th Int. Conf. Workshop Compat. Power Electron.*, Jun. 1–3, 2011, pp. 342–347.
- [3] T. Callaway, J. Cass, R. Burgos, F. Wang, and D. Boroyevich, "Three-phase AC buck rectifier using normally-On SiC JFETs at a 150 kHz switching frequency," in *Proc. 38th IEEE Power Electron. Spec. Conf.*, Jun. 17–22, 2007, pp. 2162–2167.
- [4] T. Nussbaumer, M. L. Heldwein, and J. W. Kolar, "Common mode EMC input filter design for a three-phase buck-type PWM rectifier system," in *Proc. IEEE 21st Appl. Power Electron. Conf. Exp.*, Mar. 19–23, 2006, pp. 1617–1623.
- [5] A. Stupar, T. Friedli, J. Miniböck, and J. W. Kolar, "Towards a 99% efficient three-phase buck-type PFC rectifier for 400 V DC distribution systems," *IEEE Trans. Power Electr.*, vol. 27, no. 4, pp. 1732–1744, Apr. 2012.
- [6] T. Nussbaumer, M. Baumann, and J. W. Kolar, "Comprehensive design of a three-phase three-switch buck-type PWM rectifier," *IEEE Trans. Power Electr.*, vol. 2, no. 2, pp. 551–562, Mar. 2007.
- [7] J. W. Kolar and T. Friedli, "The essence of three-phase PFC rectifier systems," in *Proc. 33rd IEEE Int. Telecom. Energ. Conf.*, Oct. 9–13, 2011, pp. 1–27.
- [8] T. Soeiro, T. Friedli, and J. W. Kolar, "Swiss Rectifier: A novel three-phase buck-type PFC topology for electric vehicle battery charging," in *Proc. 26th IEEE Appl. Power Electron. Conf. Exp.*, Feb. 5–9, 2012, pp. 2617–2624.
- [9] T. Soeiro, T. Friedli, and J. W. Kolar, "Three-phase high power factor mains interface concepts for electric vehicle battery charging systems," in *Proc. 26th IEEE Appl. Power Electron. Conf. Exp.*, Feb. 5–9, 2012, pp. 2603–2610.
- [10] M. Hartmann, S. D. Round, H. Ertl, and J. Kolar, "Digital current controller for a 1 MHz, 10 kW three-phase VIENNA rectifier," *IEEE Trans. Power Electron.*, vol. 24, no. 11, pp. 2496–2508, Nov. 2009.
- [11] X. H. Wu, S. K. Panda, and J. X. Xu, "Design of a plug-in repetitive control scheme for eliminating supply-side current harmonics of three-phase PWM boost rectifiers under generalized supply voltage conditions," *IEEE Trans. Power Electr.*, vol. 25, no. 7, pp. 1800–1810, Jul. 2010.
- [12] L. Huber, L. Gang, and M. Jovanovic, "Design-oriented analysis and performance evaluation of buck-type PWM rectifiers," *IEEE Trans. Power Electr.*, vol. 25, no. 1, pp. 85–94, Jan. 2010.
- [13] J. C. Salmon, "Comparative evaluation of circuit topologies for 1-phase and 3-phase boost rectifiers operated with a low current distortion," in *Proc. Can. Conf. Electr. Comput. Eng.*, Sep. 25–28, 1994, pp. 30–33.
- [14] H. Yoo and S.-K. Sul, "A new circuit design and control to reduce input harmonic current for a three-phase AC machine drive system having a very small DC-link capacitor," in *Proc. 25th IEEE Appl. Power Electron. Conf. Exp.*, Feb. 21–25, 2010, pp. 611–618.
- [15] J.-I. Itoh and I. Ashida, "A novel three-phase PFC rectifier using a harmonic current injection method," *IEEE Trans. Power Electron.*, vol. 23, no. 2, pp. 715–722, Mar. 2008.
- [16] T. Soeiro, F. Vancu, and J. W. Kolar, "Hybrid active 3rd harmonic current injection mains interface concept for DC distribution systems," *IEEE Trans. Power Electron.*, 2012. DOI: 10.1109/TPEL.2012.2209897.
- [17] F. Vancu, T. Soeiro, J. Muhlethaler, J. W. Kolar, and D. Aggeler, "Comparative evaluation of bidirectional buck-type PFC converter systems for interfacing residential DC distribution systems to the smart grid," in *Proc. 38th Annu. Conf. IEEE Ind. Electron. (IECON)*, Oct. 25–28, 2012.
- [18] J. Reinert, A. Brockmeyer, and R. W. A. A. D. Doncker, "Calculation of losses in ferro- and ferrimagnetic materials based on the modified Steinmetz equation," *IEEE Trans. Ind. Appl.*, vol. 37, no. 4, pp. 1055–1061, Jul./Aug. 2001.
- [19] A. Reatti and M. K. Kazimierczuk, "Comparison of various methods calculating the AC resistance of inductors," *IEEE Trans. Magn.*, vol. 38, no. 3, pp. 1512–1518, May 2002.
- [20] M. L. Heldwein, "EMC filtering of three-phase PWM converters," Ph.D. dissertation, ETH Zurich, Zurich, Switzerland, no. 17554, 2007.
- [21] T. Nussbaumer, M. L. Heldwein, and J. W. Kolar, "Differential mode input filter design for three-phase buck-type PWM rectifier based on modeling of the EMC test receiver," *IEEE Trans. Ind. Electr.*, vol. 53, no. 5, pp. 1649–1661, Oct. 2006.
- [22] (2012). [Online]. Available: [www.gecko-research.com](http://www.gecko-research.com).
- [23] M. Baumann, F. Stogerer, J. W. Kolar, and A. Lindemann, "Design of a novel multi-chip power module for a three-phase buck+boost unity power factor utility interface supplying the variable voltage DC link of a square-wave inverter drive," in *Proc. 16th IEEE Applied Power Electron. Conf. Expo.*, Anaheim, CA, Mar. 2001, pp. 820–827.
- [24] M. Baumann, U. Drogenik, and J. W. Kolar, "New wide input voltage range three-phase unity power factor rectifier formed by integration of a three-switch buck-derived front-end and a DC/DC boost converter output stage," in *Proc. 22nd Int. Telecommun. Energy Conf.*, Phoenix, AZ, Sep. 2000, pp. 461–470.
- [25] M. Baumann and J. W. Kolar, "Comparative evaluation of modulation methods for a three-phase/switch buck power factor corrector concerning the input capacitor voltage ripple," in *Proc. 32nd IEEE Power Electron. Spec. Conf. (PESC)*, Vancouver, Canada, Jun. 2001, vol. 3, pp. 1327–1333.
- [26] T. Friedli and J. W. Kolar, "A Semiconductor area based assessment of ac motor drive converter topologies," in *Proc. 24th Annu. IEEE Appl. Power Electron. Conf. Expo.*, Feb. 2009, pp. 336–342.
- [27] M. Schweizer, I. Lizama, T. Friedli, and J. W. Kolar, "Comparison of the chip area usage of 2-level and 3-level voltage source converter topologies," in *Proc. 36th Annu. Conf. IEEE Ind. Electron.*, 2010, pp. 391–396.



**Thiago B. Soeiro** (SM'10) received the B.S. (with honors) and M.S. degrees in electrical engineering from the Federal University of Santa Catarina, Brazil, in 2004 and 2007, respectively, and the Ph.D. degree from the Swiss Federal Institute of Technology (ETH Zurich), Zurich, Switzerland, in 2012.

He is currently working as a Postdoctoral Fellow at the Power Electronics Institute (INEP), UFSC, Brazil. His research interests include power supplies for electrostatic precipitator and power factor correction techniques.

Dr. Soeiro received the Best Paper First Prize Award at the IEEE Energy Conversion Congress and Exposition Asia 2011.



**Thomas Friedli** (M'09) received the M.Sc. (with distinction) degree in electrical engineering and information technology and the Ph.D. degree from the Swiss Federal Institute of Technology (ETH) Zurich, Zurich, Switzerland, in 2005 and 2010, respectively.

From 2006 to 2011, he was with the Power Electronic Systems Laboratory, ETH Zurich, where he performed research on current source and matrix converter topologies using silicon carbide power semiconductors, active three-phase PFC rectifiers, and conducted electro-magnetic interference. Since 2012,

he has been with ABB Switzerland, Ltd., Zurich, as an R&D Engineer for power electronics and medium voltage drives for traction converter systems. His current research interests are in the areas of high-efficiency power electronic systems and their control, three-phase power converters, electro-magnetic interference, and applications of wide band-gap power devices.

Dr. Friedli received the first Prize Paper Award of the IEEE Industry Applications Society Industrial Power Converters Committee in 2008 and the IEEE Transactions on Industry Applications Prize Paper Award in 2009.



**Johann W. Kolar** (F'10) received the M.Sc. and Ph.D. degrees (*summa cum laude/promotio sub auspiciis praesidentis rei publicae*) from the University of Technology Vienna, Vienna, Austria.

Since 1984, he has been working as an independent international consultant in close collaboration with the University of Technology Vienna, in the fields of power electronics, industrial electronics, and high-performance drives. He has proposed numerous novel converter topologies and modulation/control concepts, e.g., the VIENNA Rectifier, the Swiss Rec-

tifier, and the three-phase ac-ac Sparse Matrix Converter. He has published more than 450 scientific papers in international journals and conference proceedings and has filed more than 85 patents. He was appointed as a Professor and Head of the Power Electronic Systems Laboratory, Swiss Federal Institute of Technology (ETH) Zurich, Zurich, Switzerland, on Feb. 1, 2001. His current research interests include ac-ac and ac-dc converter topologies with low effects on the mains, e.g., for data centers, more-electric-aircraft and distributed renewable energy systems, and on solid-state transformers for smart microgrid systems. Further main research areas are the realization of ultracompact and ultraefficient converter modules employing latest power semiconductor technology (SiC and GaN), micropower electronics and/or power supplies on chip, multidomain/scale modeling/simulation and multiobjective optimization, physical model-based lifetime prediction, pulsed power, and ultrahigh speed and bearingless motors.

Dr. Kolar has been appointed an IEEE Distinguished Lecturer by the IEEE Power Electronics Society in 2011. He received the Best Transactions Paper Award of the IEEE Industrial Electronics Society in 2005, the Best Paper Award of the ICPE in 2007, the first Prize Paper Award of the IEEE Industry Applications Society (IAS) Industrial Power Converters Committee in 2008, the IEEE Industrial Electronics Society Best Paper Award of the IES Power Electronics Technical Committee in 2009, the IEEE Power Electronics Society (PELS) Transaction Prize Paper Award 2009, the Best Paper Award of the IEEE/ASME Transactions on Mechatronics 2010, the IEEE PELS Transactions Prize Paper Award 2010, the Best Paper first Prize Award at the IEEE Energy Conversion Conference and Exposition Asia 2011, and the 1st Place IEEE Industry Applications Society (IAS) Society Prize Paper Award 2011 and the IEEE IAS Electric Machines Committee Paper Award 2012. Furthermore, he received the ETH Zurich Golden Owl Award 2011 for Excellence in Teaching. He also received an Erskine Fellowship from the University of Canterbury, New Zealand, in 2003.

He initiated and/or is the founder/cofounder of four spin-off companies targeting ultrahigh speed drives, multidomain/level simulation, ultracompact/efficient converter systems and pulsed power/electronic energy processing. In 2006, the European Power Supplies Manufacturers Association (EPSMA) awarded the Power Electronics Systems Laboratory of ETH Zurich as the leading academic research institution in Power Electronics in Europe.

He is a Member of the IEEJ and of International Steering Committees and Technical Program Committees of numerous international conferences in the field (e.g., Director of the Power Quality Branch of the International Conference on Power Conversion and Intelligent Motion). He is the founding Chairman of the IEEE PELS Austria and Switzerland Chapter and Chairman of the Education Chapter of the European Power Electronics Association. From 1997 to 2000, he has been serving as an Associate Editor of the IEEE TRANSACTIONS ON INDUSTRIAL ELECTRONICS and since 2001 as an Associate Editor of the IEEE TRANSACTIONS ON POWER ELECTRONICS. Since 2002, he is an Associate Editor of the *Journal of Power Electronics* of the Korean Institute of Power Electronics and a Member of the Editorial Advisory Board of the *IEEJ Transactions on Electrical and Electronic Engineering*.